



FY10 OPERATIONAL PLAN

Department for Environmental Protection
Division of Compliance Assistance
300 Fair Oaks Lane
Frankfort, KY 40601

(800) 926-8111
www.dca.ky.gov



Mission, Vision, and Objectives

MISSION

The Division of Compliance Assistance exists to provide services that increase environmental knowledge and change behaviors. We do this to improve regulatory compliance, achieve exceptional performance and enhance the quality of Kentucky's environment and communities.

VISION

The Division of Compliance Assistance will influence environmental stewardship throughout Kentucky. Kentucky's citizens will routinely trust the division as an important source of accurate and timely environmental information. Our proactive and innovative efforts will inspire others to take positive actions that improve the health of our citizens and enhance the quality of our environment.

OBJECTIVES

Certify qualified environmental professionals

We will certify and train select environmental professionals to maximize appropriate actions and effective operations at regulated locations.

Revitalize brownfield properties

We will support communities and property owners in their efforts to clean and revitalize underused, contaminated properties.

Help entities comply with Kentucky's environmental requirements

We will assist regulated entities in understanding and complying with their environmental obligations while advocating for those entities underrepresented in the regulatory process.

Encourage environmental excellence

We will facilitate processes that develop environmental leaders and encourage voluntary actions that reach beyond regulatory requirements.

Certification Program

Objective 1 - Certify qualified environmental professionals.

Tactic 1.1: Certify and train select environmental professionals to maximize appropriate actions and operations at regulated locations.

Measure: The number of certification licenses issued annually.

Baseline: In SFY09, the Division of Compliance Assistance issued the following certification licenses:

Wastewater Certifications -	180
Wastewater Certification Renewals -	1068
Drinking Water Certifications -	273
Drinking Water Certification Renewals -	344

Action 1.1.1: Process certification applications and administer examinations in an accurate and timely manner to ensure that individuals possess the minimum competencies necessary to properly perform their professional duties.

Action 1.1.2: Conduct a comprehensive review of certification processes to maximize efficiencies through automation and online technology.

Action 1.1.3: Provide accurate and relevant technical training to certified operators and applicants.

Action 1.1.4: Perform a comprehensive review of all regulatory standards pertaining to the drinking water operator's certification process; modify regulatory standards as appropriate.

Action 1.1.5: Develop and implement a communication strategy that educates the public on the importance of certified professionals and the impact they have on water quality.

Brownfields Program

Objective 2 - Revitalize brownfield properties.

Tactic 2.1: Facilitate processes that result in the cleanup and beneficial reuse of brownfield properties.

Measure: The number of brownfield projects undertaken by communities or individuals that involved guidance, services or incentives offered from the division's Brownfield Program.

Baseline: In SFY09, the Division of Compliance Assistance provided services that resulted in the following brownfield projects:

Federal Grant Applications -	13 federal brownfield grant applications were submitted. 3 were awarded for a total of \$600 thousand. The program assisted with 2 CARE grant applications and 1 Smart Growth Assistance grant application – the results are still pending.
Site Assessments -	Assessment activities were performed on 9 sites
Property Cleanups -	Unknown
Property Revitalizations -	Unknown

Action 2.1.1: Continue to identify brownfield sites across the state and provide technical, financial, and visioning services for those wishing to redevelop those properties.

Action 2.1.2: Encourage brownfield redevelopment through education and outreach.

Action 2.1.3: Lead the development of financial assistance tools and public policies that encourage brownfield cleanup and beneficial use.

Compliance Assistance Program

Objective 3 - Help entities achieve environmental compliance.

Tactic 3.1: Implement a multi-media compliance assistance program that enhances environmental performance.

Measure: Changes in environmental knowledge and behavior resulting from compliance assistance activities.

Baseline: In SFY08, the Division of Compliance Assistance received feedback from its clients that indicated the following changes:

Client Response Activities -	72% indicated a change in knowledge 83% indicated a behavior change
Training Activities -	No compliance assistance trainings were conducted in SFY09

Action 3.1.1: Provide assistance to entities needing help with agency related topics, with a special emphasis placed on small businesses and communities.

Action 3.1.2: Produce training, reference materials, and interactive tools that increase environmental awareness and performance.

Action 3.1.3: Provide ombudsman services for the public and entities regulated by the Department to ensure that department programs are appropriately implemented.

Action 3.1.4: Evaluate the effectiveness of assistance efforts and the methods that produced the greatest positive impact.

Action 3.1.5: Increase communication to all stakeholders on the availability of compliance assistance and the benefits of the program.

KY EXCEL Program

Objective 4 - Encourage environmental excellence.

Tactic 4.1: Implement KY EXCEL, an environmental leadership program that recognizes entities for their voluntary commitments to improve Kentucky's environment.

Measure: By primary project category, the number of voluntary projects performed by KY EXCEL members.

Baseline: As of the end of SFY09, 1196 KY EXCEL members had committed to a total of 827 voluntary environmental projects.

<u>Primary Project Category</u>	<u>Count</u>
Conservation	28
Education	133
Mentoring and Technical Assistance	8
Performance Improvement	80
Public Health	10
Research and Development	30
Resource Efficiency	123
Resource Support	85
Restoration	41
Waste Reduction	289

Action 4.1.1: Increase the number of entities participating in KY EXCEL.

Action 4.1.2: Develop and provide incentives and services to KY EXCEL members in recognition of their voluntary efforts to improve Kentucky's environment.

Action 4.1.3: Clearly communicate the benefits resulting from the voluntary environmental projects performed by KY EXCEL members.

Tactic 4.2: Foster a culture of sustainability.

Measure: Changes in knowledge and behavior resulting from sustainability activities.

Baseline: Baseline not determined.

Action 4.2.1: Develop and provide "green" tools in partnership with organizations, state and local agencies, nonprofit entities and other stakeholders.

Action 4.2.2: Identify and communicate resources and opportunities related to environmentally beneficial actions and the benefits of those activities.

Action 4.2.3: Encourage sustainable and "green" behaviors among Kentucky's businesses, governments, and citizens.